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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Ayshi

Resp. Filing Date: 02/12/02

Serial No.: 09/991,553

Group Art Unit: 2621

Filed: Nov. 21, 2001

Examiner: unknown

For: CHARACTER RECOGNITION SYSTEM AND METHOD USING SPATIAL AND
STRUCTURAL FEATURE EXTRACTION

To the Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231

CERTIFIED MAIL: 7000 1530 0005 3003 6175

Dear Sir or Madam:

37 CFR 1.8 CERTIFICATE ON NEXT PAGE

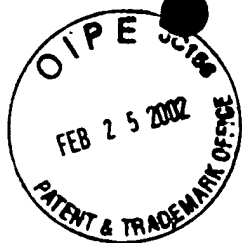
RESPONSE TO NOTICE TO FILE MISSING PARTS AND CORRECTED PAPERS

In response to a NOTICE TO FILE MISSING PARTS dated December 12, 2001, the basic filing fee (\$740.00); the fee for one independent claim in excess of three (\$84.00) and the surcharge for late filing (\$130.00) and are included herewith. The first two fees totally \$824.00 are included in a single deposit account authorization (i.e., Fee Transmittal Form PTO/SB/17). A check (No. 2574) in the amount of \$130.00 is included to cover the surcharge for late filing.

Respectfully submitted,

Louis J. Franco
USPTO Registration No.: 38,885
Attorney for Applicant
Law Office of Louis J. Franco
250 Arbor Street
Lunenburg, Massachusetts 01462
Phone: (978) 582-5550
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37 CFR 1.8 CERTIFICATE ON NEXT PAGE



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CERTIFICATE OF MAILING

I hereby certify in accordance with 37 CFR 1.8 that this correspondence* is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
United State Patent and Trademark Office
Washington, D.C. 20231

on Feb. 12, 2002

Certified Mail Article #: 7000 1530 0005 3003 6175

Louis J. Franco
USPTO Registration No.: 38,885
Attorney for Applicant
Date of this Signature: Feb. 12, 2002

*The correspondence covered by this certificate includes at least every document bearing the Certified Mail Article No. 7000 1530 0005 3003 6175.

PTO
FEB 25 2002
TRADEMARK OFFICE

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PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)824.00

Complete if Known

Application Number 09/991,553
Filing Date 11/21/2001
First Named Inventor Mohammed Abu Ayshi
Examiner Name
Group Art Unit 2621
Attorney Docket No. 2001-201

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

50-0646
LOCKHEED MARTIN - OWEGO

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	740	Utility filing fee	<u>740.00</u>
		106	330	Design filing fee	
		107	510	Plant filing fee	
		108	740	Reissue filing fee	
		114	160	Provisional filing fee	

SUBTOTAL (1) (\$)740.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid
Total Claims Independent Claims 19 -20** = 1 X 84.00 = 84.00
Multiple Dependent 4 -3** = 1 X 84.00 = 84.00

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	Claims in excess of 20	
		102	84	Independent claims in excess of 3	
		104	280	Multiple dependent claim, if not paid	
		109	84	** Reissue independent claims over original patent	
		110	18	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)84.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity/Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)0

SUBMITTED BY

Name (Print/Type) LOUIS J. FRANCO

Signature Louis J. Franco

Registration No. 38,885
(Attorney/Agent)

Complete (if applicable)

Telephone 978-582-5550

Date Feb. 12, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,553	11/21/2001	Mohammed Abu Ayshi	2001-201

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CONFIRMATION NO. 3508

FORMALITIES LETTER



OC00000007184969

LOUIS J. FRANCO
LAW OFFICES OF LOUIS J. FRANCO-LUNENBURG
250 ARBOR STREET
LUNENBURG, MA 01462

Date Mailed: 12/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 ■ \$84 for 1 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

Note: \$130.00 surcharge paid by check included herewith.

A copy of this notice **MUST** be returned with the reply.

mal
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000006 500546 09991553
740.00 CH
84.00 CH
130.00 CH

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